

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/506,650	09/02/2004	Hartwig Waldert	188.555	4820	
47888 7	7590 . 12/05/2006		EXAM	INER	
HEDMAN & COSTIGAN P.C. 1185 AVENUE OF THE AMERICAS			SUHOL, DMITRY		
NEW YORK,			ART UNIT PAPER NUMBER		
			3725		
·			DATE MAILED: 12/05/2006	DATE MAILED: 12/05/2006	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)	<del></del>		
	10/506,650	WALDERT ET AL.			
Office Action Summary	Examiner	Art Unit			
	Dmitry Suhol	3725			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION  16(a). In no event, however, may a reply be tim  ill apply and will expire SIX (6) MONTHS from the cause the application to become ABANDONEI	l. ely filed the mailing date of this co O (35 U.S.C. § 133).			
Status					
<ul> <li>1) Responsive to communication(s) filed on 15 Section 2a) This action is FINAL.</li> <li>2b) This 3) Since this application is in condition for allowant closed in accordance with the practice under Expression 2 section 2 section 2 section 2 section 3 section 2 section 2 section 2 section 2 section 2 section 3 section 2 section 2 section 2 section 3 section 2 section 2 section 3 section 2 section 3 section 3 section 2 section 3 secti</li></ul>	action is non-final. ice except for formal matters, pro		e merits is		
Disposition of Claims					
4) ☐ Claim(s) 1-9 is/are pending in the application. 4a) Of the above claim(s) is/are withdraw 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-9 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or					
Application Papers					
9) The specification is objected to by the Examiner 10) The drawing(s) filed on is/are: a) access applicant may not request that any objection to the of Replacement drawing sheet(s) including the correction of the oath or declaration is objected to by the Examiner	epted or b) objected to by the Edrawing(s) be held in abeyance. See on is required if the drawing(s) is obj	37 CFR 1.85(a). ected to. See 37 CF			
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>					
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal Pa	te			

Application/Control Number: 10/506,650

Art Unit: 3725

#### **DETAILED ACTION**

### Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 6-7 and 9 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding claim 6, there is no antecedent basis for "the stamping or shaping of the sheet".

Regarding claims 7 and 9, it is not clear if the language in the parentheses "(clinch connection)" is being claimed.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Teratoko et al '574 in view of Bajraszewski et al '270. Teratoko discloses a method and device for forming a rotationally symmetric body containing all of the claimed elements,

including with reference to claim 1, an annulus (41) having a toothed profile (32) which is worked into a metal strip (figure 7), cutting the sheet metal strip into desired lengths before or after working the tooth profile (figure 2B), bending the sheet metal strip to form a rotationally symmetric body and fixing the ends of the sheet metal strip to each other (figure 1A). The toothed profile being made by rolling, stamping or forming, as required by claim 2, is shown in figure 7. The limitations of claim 3 are encompassed in figure 8 as described at cols. 5-6, lines 60+ and 1-8, respectively. Limitations of claims 4 and 8 are shown in figures 14 and 15 as welding 34. Limitations of claims 5-7 and 9 are shown in figures 2A, 2B and as hooks of figure 9C.

Teratoko fails to explicitly states that his profile (32) arranged on the inner wall of the annulus (figure 8) is configured as a helical gearing as required by claim 1. However, Bajraszewski discloses a device and method which teaches that it is known to manufacture a annulus like that of Teratoko configured as helical gearing through a similar method as Teratoko (col. 4, lines 24+). Therefore it would have been obvious to one having ordinary skill in the art, at the time of the claimed invention, to have worked a helical gearing profile as the teeth (32) or Teratoko since it would only depend on the type of gear to be produced and since a one step cost effective and precise process and the apparatus for its implementation is known and demonstrated by Bajraszewki.

### Response to Arguments

Applicant's arguments filed 6/1/2006 have been fully considered but they are not persuasive. Applicants argue that the rejections under 35 USC 112 have been

Art Unit: 3725

overcome and that the amended claim language overcomes the prior art of record. In response the examiner points out that the 112 rejection with respect to claim 6-7 and 9 have not been overcome and that the newly amended claims are obviated by the rejection as set forth above.

#### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dmitry Suhol whose telephone number is 571-272-4430. The examiner can normally be reached on Mon - Friday 8:30am-5:00pm.

Art Unit: 3725

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Derris Banks can be reached on (571) 272-4419. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Dmitry Suhol
Primary Examiner
Art Unit 3725

ds